

Definitions

The following definitions apply to terms used in this application:

"Child" means a person who has not attained the legal age for consent to treatments or procedures involved in the research, under the applicable law of the jurisdiction in which the research will be conducted.

"De-identified records" mean that all direct and indirect identifiers have been removed from individual-level records. De-identified records are not considered PHI or identifiable personal information. **Public use datasets** are comprised of de-identified records.

"Direct identifiers" in data or records include names; postal address information; telephone numbers; fax numbers; electronic mail addresses; social security numbers; medical record numbers; health plan beneficiary numbers; account numbers; certificate/license numbers; vehicle identifiers and serial numbers, including license plate numbers; device identifiers and serial numbers; web universal resource locators (URLs); internet protocol (IP) address numbers; biometric identifiers, including finger and voice prints; full face photographic images and any comparable images; and any other unique identifying number, characteristic, or code.

"Human subject" means a living individual about whom an investigator (whether professional or student) conducting research obtains (1) Data through intervention or interaction with the individual, or (2) Identifiable private information.

"Identifiable" data or records contain information that reveals or can likely be associated with the identity of the person or persons to whom the data or records pertain. Data or records with **direct identifiers** removed, but which retain **indirect identifiers**, are considered identifiable.

"Indirect identifiers" in data or records include geographic identifiers smaller than a State (city, county, and zip code, and their equivalent geocodes, except for the initial three digits of a zip code); all elements of dates (except year) for dates directly related to an individual, including birth date, admission date, discharge date, date of death; and all ages over 89 and all elements of dates (including year) indicative of such age, except that such age and elements may be aggregated into a single category of age 90 or older.

"Minimal Risk" means that the probability and magnitude of harm or discomfort anticipated in the research are not greater in and of themselves than those ordinarily encountered in daily life or during the performance of routine physical or psychological examinations or tests.

"Minimum Necessary" means that the agency disclosing the protected health information or identifiable personal records must make reasonable efforts to limit the disclosure to the minimum necessary to accomplish the intended purpose of the use, disclosure, or request.

"Non-identified records" means that all direct identifiers have been removed from individual-level records, but the records may include dates related to an individual and geographic identifiers smaller than a State. Non-identified health records are considered protected health information and are identifiable. **Limited datasets** are comprised of non-identified records.

"Protected Health Information (PHI)" means individually identifiable health information created or received by a health care provider, health plan, or health care clearinghouse that is transmitted or maintained in any form or medium.

Abbreviations

DSHS Washington State Department of Social and Health Services

DOH Washington State Department of Health

L&I Washington State Department of Labor and Industries

HCA Washington State Health Care Authority

DEL Washington State Department of Early Learning